

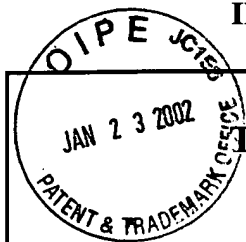
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1614\$

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL
FORM

(to be used for all correspondence after filing)

Total number of Pages in this submission 21

Application Number	09/870,089
Filing Date	May 30, 2001
First Named Inventor	Charles A. NICOLETTE
Group Art Unit	1614
Examiner Name	Unassigned
Attorney Docket No.	GZ 2099.00

Commissioner for Patents
Washington, D.C. 20231

ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> FEE TRANSMITTAL FORM
<input type="checkbox"/> FEE ATTACHED
<input type="checkbox"/> AMENDMENT/RESPONSE
<input type="checkbox"/> AFTER FINAL
<input type="checkbox"/> AFFIDAVITS' DECLARATION(S)

<input checked="" type="checkbox"/> EXTENSION OF TIME

<input type="checkbox"/> EXPRESS ABANDONMENT REQUEST

<input type="checkbox"/> INFORMATION DISCLOSURE
STATEMENT W/1449

<input type="checkbox"/> CERTIFIED COPY OF PRIORITY
DOCUMENT(S)
<input type="checkbox"/> RESPONSE TO MISSING PARTS/
INCOMPLETE APPLICATION
<input type="checkbox"/> RESPONSE TO MISSING PARTS
UNDER 37 CFR 1.52 OR 1.53
COPY OF PTO-1533

<input type="checkbox"/> ISSUE FEE TRANSMITTAL
<input type="checkbox"/> | <input type="checkbox"/> ASSIGNMENT PAPERS
(FOR AN APPLICATION)
<input type="checkbox"/> DRAWING(S)
<input type="checkbox"/> LICENSING-RELATED
PAPERS
<input type="checkbox"/> PETITION ROUTING SLIP
(PTO/SB/60) AND
ACCOMPANYING
PETITION)
<input type="checkbox"/> TO CONVERT A
PROVISIONAL
APPLICATION
<input type="checkbox"/> POWER OF ATTORNEY,
REVOCATION CHANGE OF
CORRESPONDENCE ADDRESS
<input type="checkbox"/> TERMINAL DISCLAIMER

<input type="checkbox"/> SMALL ENTITY STATEMENT
<input type="checkbox"/> REQUEST FOR REFUND | <input type="checkbox"/> AFTER ALLOWANCE
COMMUNICATION TO GROUP
<input type="checkbox"/> APPEAL COMMUNICATION TO BOARD
OF APPEALS AND INTERFERENCES

<input type="checkbox"/> APPEAL COMMUNICATION TO GROUP
(APPEAL NOTICE, BRIEF, REPLY BRIEF)

<input type="checkbox"/> PROPRIETARY INFORMATION

<input type="checkbox"/> STATUS LETTER

<input checked="" type="checkbox"/> ADDITIONAL ENCLOSURE(S)
(PLEASE IDENTIFY BELOW):
NOTICE TO COMPLY, SECOND PRELIMINARY
AMENDMENT, SUBSTITUTE SEQUENCE LISTING AND
MARKED-UP VERSIONS, COMPUTER DISKETTE AND
RETURN POSTCARD |
|---|---|--|

REMARKS:

MCCUTCHEN, DOYLE, BROWN & ENERSEN LLP
THREE EMBARCADERO CENTER, SUITE 1800
SAN FRANCISCO, CA 94111
TELEPHONE: (650) 849-4050
FACSIMILE: (650) 849-4800

DATE: DECEMBER 17, 2001BY: Antoinette F. Konski

ANTOINETTE F. KONSKI (REG. NO. 34,202)

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: December 17, 2001

By: Peggy Nichols

Name of person signing: Peggy Nichols

3. The following fees are submitted:

	TOTAL MONTHS REQUESTED	OTHER THAN SMALL ENTITY	SMALL ENTITY	CALCULATIONS
a. <input type="checkbox"/>	one month	\$110.00	\$55.00	\$
b. <input type="checkbox"/>	two month	\$400.00	\$200.00	\$
c. <input checked="" type="checkbox"/>	three month	\$920.00	\$460.00	\$ 920.00
d. <input type="checkbox"/>	four month	\$1,440.00	\$720.00	\$
e. <input type="checkbox"/>	five month	\$1,960.00	\$980.00	\$
f. <input type="checkbox"/>	An extension for ____ months has already been secured for filing the above-identified communication and the fee paid therefor of \$ ____ is deducted from the total fee due for the total months of extension now requested. The fee for this extension (\$ ____), minus the fee previously paid (\$ ____) equals \$ ____ (total fee due).			\$
TOTAL FEES =				\$920.00

- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- ☒ Please charge Deposit Account No. 50-1189, Docket No. 19442-7215, in the amount of \$920.00 to cover the above-fees. *A duplicate copy of this sheet is enclosed.*
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1189, Docket No. 19442-7215. *A duplicate copy of this sheet is enclosed.*

DATE: December 17, 2001

Respectfully submitted,

By: _____

Antoinette F. Konski
Registration No.: 34,202

McCutchen, Doyle, Brown & Enersen, LLP
Three Embarcadero Center, Suite 1800
San Francisco, California 94111
Telephone: (650) 849-4950
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FEE TRANSMITTAL FOR FY 2002

Complete if Known

Application Number 09/870,089
Filing Date May 30, 2001
First Named Inventor Charles A. NICOLETTE
Examiner Name Unassigned
Group Art Unit 1614
Attorney Docket No. GZ 2099.00

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TOTAL AMOUNT OF PAYMENT

(\$920.00)

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

50-1189

Deposit
Account
Name

McCutchen Doyle Brown & Enersen LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
* - 20** =	*	x	= \$
Independent Claims - 3** =	*	x	= \$
Multiple Dependent			= \$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claims, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	920.00
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	330	219	160	Notice of Appeal	
120	330	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$920.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Antoinette F. Kinski

Registration No.
(Attorney/Agent)

34,202

Telephone

(650) 849-4950

Signature

Antoinette F. Kinski

Date

Dec. 17, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.